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PATENT  
Attorney Docket No.: SSI-02001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Maximilian A. Biberger *et al.*

Serial No.: 09/841,800-

Filed: April 24, 2001

For: **METHOD OF DEPOSITING  
METAL FILM AND METAL  
DEPOSITION CLUSTER TOOL  
INCLUDING SUPERCRITICAL  
DRYING/CLEANING MODULE**

Assistant Commissioner for Patents  
Washington, D.C. 20231

} Group Art Unit: 2812

} Examiner:

**TRANSMITTAL LETTER**

} 260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650)833-0160

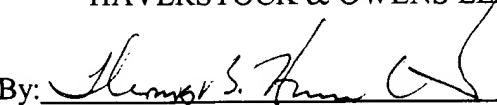
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Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
**HAVERSTOCK & OWENS LLP**

Dated: 12-21-01  
By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

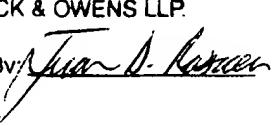
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**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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HAVERSTOCK & OWENS LLP

Date: 12-24-01 By: 



PATENT  
Attorney Docket No.: SSI-02001

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) Group Art Unit: 2812

) Examiner:

**SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT**

) 260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650)833-0160

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

Applicant has become aware of the following printed publications which may be material to the examination of this application:

- German Publication No. DE 198 60 084 A1;
- European Publication No. EP 0 822 583 A2;
- European Publication No. EP 0 829 312 A2; and
- Japanese Abstract No. JP 2-209729.

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

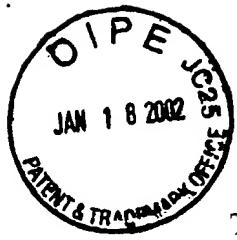
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HAVERSTOCK & OWENS LLP.

Date: 12-24-01 By: Jean D. Larson

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PATENT  
Attorney Docket No.: SSI-02001

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-21-01

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants



PATENT  
Attorney Docket No.: SSI-02001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of: ) Group Art Unit: 2812

Maximilian A. Biberger *et al.* ) Examiner:

Serial No.: 09/841,800 )

Filed: April 24, 2001

For: **METHOD OF DEPOSITING  
METAL FILM AND METAL  
DEPOSITION CLUSTER TOOL  
INCLUDING SUPERCRITICAL  
DRYING/CLEANING MODULE**

Group Art Unit: 2812

Examiner:

**CERTIFICATION FOR  
SUPPLEMENTAL INFORMATION  
DISCLOSURE STATEMENT  
UNDER 37 CFR § 1.97(e)**

260 Sheridan Avenue, Suite 420  
Palo Alto, California 94306  
(650) 833-0160

Assistant Commissioner of Patents  
Washington, D.C. 20231

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

## **Certification**

I hereby certify that the attached four (4) references contained in this information disclosure statement were cited within an International Search Report (ISR) mailed on November 27, 2001. **Accordingly, the references contained in the information disclosure statement were cited in a communication from a foreign patent office in a counterpart application not more than three months prior to the filing of the statement.** 37 C.F.R. 1.97(e)(1).

CERTIFICATE OF MAILING (37 CFR § 1.8(a))

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**HAVERSTOCK & OWENS LLP.**

Date: 12-24-01 Bv: Juan S. Rosario

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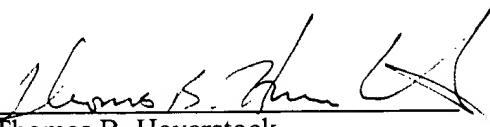
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### **Identification of Person Making Certification**

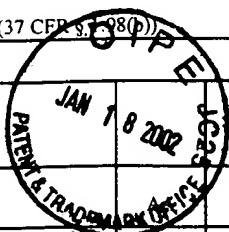
The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 12-21-01

By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicants

| ORM PTO-1449<br>(Modified)  |                  | U.S. Department of Commerce<br>Patent and Trademark Office |                         | Attorney Docket No.: SSI-02001                  |          | Serial No.: 09/841,800 |    |
|---|------------------|--|-------------------------|---|----------|------------------------|----|
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT<br>(Use Several Sheets If Necessary)<br>(37 CFR § 1.98(c))  |                  |  |                         | Applicant: Maximilian A. Biberger <i>et al.</i> |          |                        |    |
|   |                  |  |                         | Filing Date: April 24, 2001                     |          | Group Art Unit: 2812   |    |
| FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS  |                  |  |                         |   |          |                        |    |
|   | Document Number  | Publication Date   | Country / Patent Office | Class   | Subclass | Translation            |    |
|   |                  |  |                         |   |          | Yes                    | No |
|   | DE 198 60 084 A1 | 07/06/00   | Germany                 | H 01 L  | 21/3213  |                        | X  |
| AB  | EP 0 822 583 A2  | 02/04/98   | EP                      | H01L  | 21/306   |                        | X  |
| AC  | EP 0 829 312 A2  | 03/18/98   | EP                      | B 08 B  | 3/08     |                        | X  |
| AD  | JP 2-209729      | 8/21/90  | JP                      | H 01 L  | 21/302   |                        | X  |
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| Examiner:   |                  |  |                         | Date Considered:                                |          |                        |    |
| EXAMINER: Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. |                  |  |                         |   |          |                        |    |